

2152

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thomas

Attorney Docket No.: CDTP004C1

Application No.: 09/677,711

Examiner:

Filed: 9/30/2000

Group: 2152

Title: METHOD AND APPARATUS FOR  
CUSTOMIZATION OF INFORMATION  
CONTENT PROVIDED TO A REQUESTOR  
OVER A NETWORK

#4/01/01  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on October 6, 2001.

Signed: \_\_\_\_\_

C. Douglass Thomas

INFORMATION DISCLOSURE STATEMENT  
37 CFR §§1.56 AND 1.97(b)

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. The above-identified application is a continuation of prior application U.S. Patent Application No. 09/021,177. This prior application is being relied upon for an earlier filing date under 35 U.S.C. § 120. Because the listed references were either cited by the PTO, or submitted to the PTO in the prior application, under 37 CFR § 1.98(d) Applicants submit that copies need not be provided.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is: (i) filed within three (3) months of the filing date of the above-referenced application, (ii) believed to be filed before the mailing date of a first Office Action on the merits, or (iii) believed to be filed before the mailing of a first Office Action after the filing of a Request for Continued Examination under §1.114. Accordingly, it is

believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500388 (Order No. RLC1G000 ).

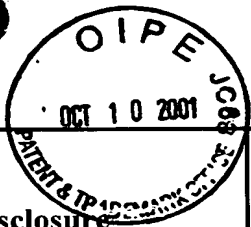
Respectfully submitted,



C. Douglass Thomas  
Registration No. 32,947

(650) 961-8300

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<b>Form 1449 (Modified)</b>  <b>Information Disclosure Statement By Applicant</b>  (Use Several Sheets if Necessary)	Atty Docket No. CDTP004C1	Application No.: 09/677,711
	Applicant: Thomas	
	Filing Date: 9/30/2000	Group: 2152

#### U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	5,661,516	8/26/97	Carles			
	B	5,712,979	1/27/98	Graber et al.			
	C	5,931,901	9/1999	Wolfe et al.			
	D	5,848,397	12/1998	Marsh et al.			
	E	5,948,061	9/1999	Merriman et al.			

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#### Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

#### Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	F	Hotmail, "Hotmail Provides Web-Based Free E-mail To The World On Independence Day," Press Release, July 1, 1996
	G	Hotmail, "Hotmail Tops 100,000 Subscribers," Press Release, September 6, 1996.
	H	Hotmail, "Hotmail Subscriber Base Doubles to 500,000 in One Month," Press Release, November 11, 1996.
	I	Hotmail, "Hotmail Subscriber Base Tops One Million," Press Release, January 21, 1997.
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	J	PointCast, "PointCast Unveils First News Network that Reaches Viewers at Their Desktops," Press Release, February 13, 1996.
	K	PointCast, "PointCast Announces Dramatic Enhancements to Advertiser Services," Press Release, June 26, 1996.
	L	PointCast, "PointCast Announces Advertising Sales to Major Sponsors," Press Release, July 19, 1997.
	M	Wasserman, "Cyber trails to you," San Jose Mercury News, December 6, 1996.
	N	Netscape Web Site Advertising Opportunities, Netscape Communications Corporation, 1997.
	O	"Real Ads for Real Money," (CyberGold) PC Magazine, page 9, January 7, 1997.
	P	Ad Targeting, Banner Delivery, and Criteria, DoubleClick, Inc., ( <a href="http://www.doubleclick.net/nf/adinfo/">http://www.doubleclick.net/nf/adinfo/</a> ).
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**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	Q	Advertising Opportunities, Hotmail Corporation, (http://www.hotmail.com/), 1996-97.
	R	Hallam-Baker et al., "Session Identification URI," W3C Working draft, (http://www.w3.org/pub/WWW/TR/WD-session-id-960221.html), February 21, 1996.
	S	Mutz et al., "User-Agent Display Attributes," HTTP Working Group, Internet Draft, (http://www.ics.uci.edu/pub/ietf/http/), August 1, 1996.
	T	Fielding et al., "Hypertext Transfer Protocol -- HTTP/1.1," HTTP Working Group, Internet Draft, (http://www.ics.uci.edu/pub/ietf/http/), August 12, 1996.
	U	Holtman, "Content negotiating user agent extension," v1.1, Sept. 2, 1996.
	V	Dunn et al., "Privacy and Profiling on the Web," Microsoft Corporation, (http://www.w3.org/TR/NOTE-Web-privacy.html), June 2, 1997.
	W	Hensley et al., "Proposal for Open Profiling Standard," Firefly Network, Inc., (http://www.w3.org/TR/NOTE-OPS-FrameWork.html), June 2, 1997.
	X	Netscape Communications Corp., "Netscape, Friefly and Versign Propose Open Profiling Standard (OPS) to Enable Broad Personalization of Internet Services," Press Release, May 27, 1997.
	Y	"Open Profiling Standard (OPS) Frequently Asked Questions," Netscape Communications Corp., May 27, 1997.
	Z	Firefly Network, Inc., "Proposal for an Open Profiling Standard," Version 1.0, June 2, 1997.
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**Other Documents**

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	AA	Yahoo! Inc., "Web Users Create Their Own My Yahoo!", Press Release, July 15, 1996.
	BB	Firefly Network, Inc., "Firefly Network Provides Open Approach For A Personalized and Measureable Web Experience", Press Release, December 3, 1996.
	CC	Yahoo! Inc., "My Yahoo! Makes the Web Even More Personal", Press Release, December 9, 1996.
	DD	Firefly Network, Inc., "Firefly Network and Yahoo! Offer Consumers Ability to Intelligently Navigate the Web", Press Release, December 11, 1996.
	EE	Firefly Network, Inc., "Firefly Network Extends Open Platform for Personalized Web Site or Desktop Applications", Press Release, February 3, 1997.
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	FF	5,734,838	3/1998	Robinson et al.			6/1995
	GG	5,749,081	5/1998	Whiteis			4/1995
	HH	5,749,210	4/1998	Goldhaber et al.			12/1995
	II	5,761,647	6/1998	Boushy			4/1996
	JJ	5,761,648	6/1998	Golden et al.			7/1995
	KK	5,774,869	6/1998	Toader			6/1995

**Foreign Patent or Published Foreign Patent Application**

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub- class	Translation	
							Yes	No

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	NN	5,809,242	9/1998	Shaw et al.	709	217	
	OO	5,850,433	12/1998	Rondeau	379	201	
	PP	5,897,622	4/1999	Blinn et al.	705	26	
	QQ	5,915,001	6/1999	Uppaluru	379	88.22	
	RR	5,925,100	7/1999	Drewry et al.	709	219	
		6,041,357	3/2000	Kunzelman et al.	709	228	

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	SS	NetGravity, Inc., "NetGravity Launches AdServer, the Premier Advertising Management System Software for World Wide Web Publishers", Press Release, January 31, 1996.
	TT	BroadVision Inc., "BroadVision Unleashes the Power of the Internet with Personalized Marketing and Selling," Press Release, January 22, 1996.
	UU	NetGravity, Inc., "NetGravity Launches AdServer 2.1, Significant Enhancements Make Online Advertising More Reliable, Efficient, and Manageable", Press Release, January 13, 1997.
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